

This week: § 3.1 and 3.2

Optimization and Curve Sketching.

"Calculus studies rates of change, it can help us find large/small values."

Defⁿ: A function $f: \mathbb{R} \rightarrow \mathbb{R}$

is INCREASING if

$$a < b \Rightarrow f(a) < f(b)$$

Defⁿ: A function $f: \mathbb{R} \rightarrow \mathbb{R}$

is DECREASING if

$$a < b \Rightarrow f(b) < f(a)$$

Ex: The function $f(x) = 10x$ is increasing.

Ex: The function $g(x) = -3x$ is decreasing.

- slope \Rightarrow decrease

+ slope \Rightarrow increasing

Fact! • If $f'(x) > 0$ for all $x \in (a, b)$
then f is increasing on (a, b) .

• If $f'(x) < 0$ for all $x \in (a, b)$
then f is decreasing on (a, b) .

Ex: Consider $f(x) = x^2$. Where is f increasing? dec?

$f'(x) = 2x \Rightarrow f$ is increasing on $(0, \infty)$
 f is decreasing on $(-\infty, 0)$

Defⁿ: $x=c$ is a CRITICAL POINT of $f(x)$
 if: $f'(x)$ is not defined at $x=c$
 OR $f'(c) = 0$

Ex: Find the critical points of $f(x) = \sqrt{|x|} - x^2$
 # Write the function explicitly $f(x) = \begin{cases} \sqrt{x} - x^2, & x \geq 0 \\ \sqrt{-x} - x^2, & x \leq 0 \end{cases}$

compute derivatives of both parts.

If $x > 0$ then $f(x) = \sqrt{x} - x^2$ and
 $f'(x) = \frac{1}{2\sqrt{x}} - 2x$

If $x \leq 0$ then $f(x) = \sqrt{-x} - x^2$ (i) $x \leq 0$ means
 $f'(x) = -\frac{1}{2\sqrt{-x}} - 2x$ the \ominus sign
 is okay.

Determine where $f'(x)$ is undefined.

$x=0$ is a critical value
 because $f'(x)$ is undefined at $x=0$.

Determine where $f'(x) = 0$.

$$f'(x) = 0 \text{ and } x > 0 \Rightarrow 0 = \frac{1}{2\sqrt{x}} - 2x$$

$$\Rightarrow 0 = 1 - 4x^{\frac{3}{2}}$$

Similarly,
 $f'(x) = 0$ and $x \leq 0$

$$\Rightarrow x = -\left(\frac{1}{4}\right)^{\frac{2}{3}}$$

$$\Rightarrow \frac{1}{4} = x^{\frac{3}{2}} \Rightarrow x = \left(\frac{1}{4}\right)^{\frac{2}{3}}$$

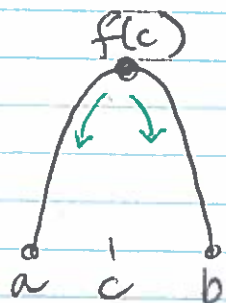
Thus, $x = 0, \left(\frac{1}{4}\right)^{\frac{2}{3}}, -\left(\frac{1}{4}\right)^{\frac{2}{3}}$ are critical values.

Defⁿ: $x=c$ is a (RELATIVE) MAXIMUM if

There is $(a,b) = \{x : a < x < b\}$

such that: $c \in (a,b)$ and

$$x \in (a,b) \Rightarrow f(x) \leq f(c)$$



(RELATIVE)

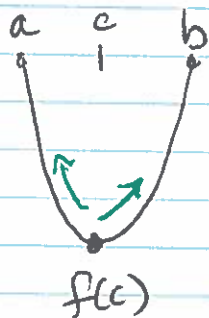
Defⁿ: $x=c$ is a ~~MINIMUM~~ MINIMUM if

There is (a,b) such that: $c \in (a,b)$

and $x \in (a,b) \Rightarrow f(c) \leq f(x)$

$f(c)$ is smaller than its neighbours.

These are called "relative extrema"



Thm: If $x=c$ is a relative max or relative min then $x=c$ is a critical point of f .

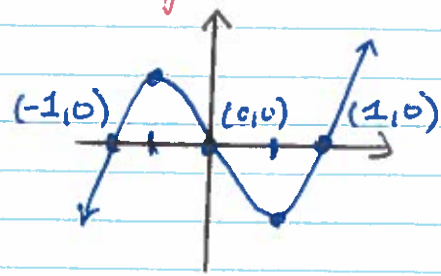
NB: If extreme value then critical value

This means, to find extreme values we need to check critical points.

Ex: Find the extreme values of:

$$f(x) = x(x-1)(x+1) = x^3 - x$$

Find where $f'(x) = 0$ or UNDEF.



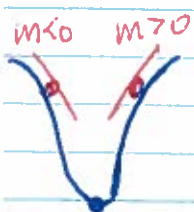
$$f'(x) = 3x^2 - 1 = 0$$

$$\Rightarrow x = \pm \frac{1}{\sqrt{3}}$$

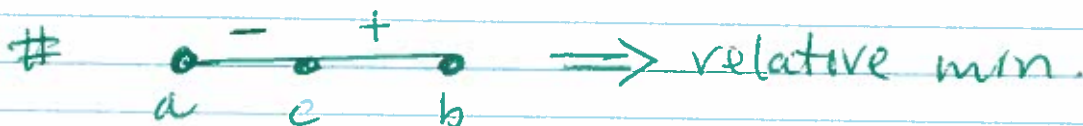
Thm (First Derivative Test) P166

Suppose f has a unique critical point
 $a < x = c < b$

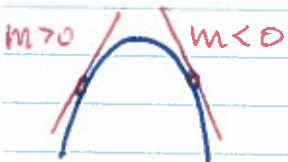
F1 If $f'(x) < 0$ on (a, c) and
 $f'(x) > 0$ on (c, b)



then $x = c$ is a relative minimum.



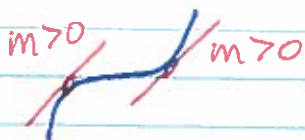
F2 If $f'(x) > 0$ on (a, c) and
 $f'(x) < 0$ on (c, b)



then $x = c$ is a relative maximum.



F3 If f' has the same sign on (a, c) and (c, b)
then $x = c$ is neither max nor min.



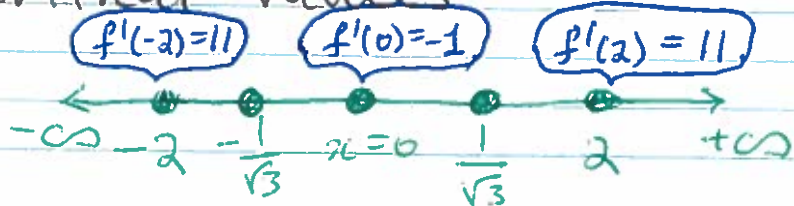
Ex: Classify the critical values of $f(x) = x^3 - x$.

Recall, $x = -\frac{1}{\sqrt{3}}$ and $x = +\frac{1}{\sqrt{3}}$

are the only critical values

Select test values.

$f'(x) = 3x^2 - 1$



The Curve Sketching Table (v1)

Interval	$(-\infty, -\frac{1}{\sqrt{3}})$	$(-\frac{1}{\sqrt{3}}, \frac{1}{\sqrt{3}})$	$(\frac{1}{\sqrt{3}}, \infty)$
Test Value	$x = -2$	$x = 0$	$x = 3$
sign $f'(x)$	+	-	+
Behavior	f increasing ↗	f decreasing ↘	f increasing ↗

Column dividers for critical values.

Chosen from inside interval.

Result: rel. max rel. min.

To generate a curve sketching table.

- ① Find critical values.
 - ①a Where is $f'(x)$ undefined?
 - ①b Where is $f'(x) = 0$?
- ② Create dividers for each crit value.
- ③ Write intervals between crit values.
- ④ Select test values from intervals.
Pick any nice value!
- ⑤ Calculate $f'(x)$ at test values.

See pg 168

Defⁿ: The FIRST DERIVATIVE of $f(x)$ is $f'(x)$.
The SECOND DERIVATIVE of $f(x)$ is $f''(x) = \frac{d}{dx} f'(x)$
etc.

Ex: Compute the first three derivatives of $f(x) = x^3 - x$.

First

$$f'(x) = 3x^2 - 1$$

Second

$$f''(x) = 6x$$

Third

$$f'''(x) = 6$$

Defⁿ: f is CONCAVE UP on (a, b) if:

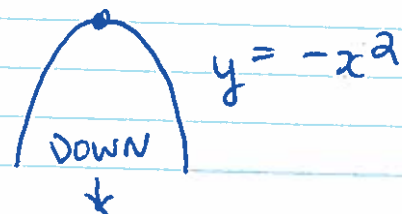
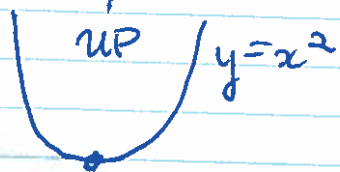
$$x \in (a, b) \Rightarrow f''(x) > 0$$

f is CONCAVE DOWN if:

$$x \in (a, b) \Rightarrow f''(x) < 0.$$

Ex: $f(x) = x^2$ is concave up. $f''(x) = 2 > 0$.

$g(x) = -x^2$ is concave down $g''(x) = -2 < 0$.



Thm (Second Deriv. Test) p180

Suppose $f'(x)$ exists for every $x \in (a, b)$
and $f'(c) = 0$ for some $c \in (a, b)$.

- ① If $f''(c) > 0$ then $f(c)$ is a rel. min.
- ② If $f''(c) < 0$ then $f(c)$ is a rel. max.
- ③ If $f''(c) = 0$ then no conclusion.

Ex: Find and classify the critical values of
 $f(x) = x^4 - 2x^2$

compute $f'(x)$ and $f''(x)$.

$$f'(x) = 4x^3 - 4x = 4x(x^2 - 1) = 4x(x-1)(x+1)$$

$$f''(x) = 12x^2 - 4 = 12\left(x^2 - \frac{1}{3}\right) = 12\left(x - \frac{1}{\sqrt{3}}\right)\left(x + \frac{1}{\sqrt{3}}\right)$$

Find crit. values. Solve $f'(x) = 0$.

$$x = -1, 0, 1$$

Determine concavity at crit values.

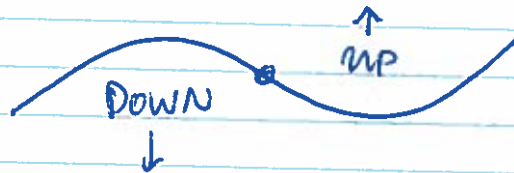
$$f''(-1) = 12(-1)^2 - 4 = 8 > 0 \Rightarrow \text{rel. min.}$$

$$f''(0) = 12 \cdot 0^2 - 4 = -4 < 0 \Rightarrow \text{rel. max.}$$

$$f''(1) = 12(1)^2 - 4 = 8 > 0 \Rightarrow \text{rel. min.}$$

Thus $x = -1$ and $x = 1$ are relative minima,
 $x = 0$ is a relative maximum.

Defn: $x=c$ is a POINT OF INFLECTION if $f(x)$ has different concavity on either side of $x=c$.



Thm: If $f(x)$ has a point of inflection at $x=c$ then

$$f''(c) = 0 \text{ OR } f''(x) \text{ is not defined at } x=c$$

Ex: Find the points of inflection of $f(x) = 3x^5 - 20x^3$

Solve $f''(x) = 0$.

compute $f'(x)$ and $f''(x)$

$$\begin{aligned} f'(x) &= 15x^4 - 60x^2 = 15x^2(x^2 - 4) \\ &= 15x^2(x-2)(x+2) \end{aligned}$$

$$\begin{aligned} f''(x) &= 60x^3 - 120x \\ &= 60x(x^2 - 2) = 60x(x - \sqrt{2})(x + \sqrt{2}) \end{aligned}$$

$$f''(x) = 0 \Rightarrow x = -\sqrt{2}, 0, \sqrt{2}.$$

check concavity

$$f''(-2) = - \quad f''(1) = -$$

$$f''(-1) = + \quad f''(2) = +$$

MAT A29 Wk 5b

Ex: If $f(x) = x^3 + 3x^2 - 9x - 13$

then make a Curve Sketching Table (v1)
for f and classify the concavity of $f(x)$.

Find critical points.

$$f'(x) = 3x^2 + 6x - 9 = 3(x^2 + 2x - 3) \\ = 3(x+3)(x-1)$$

# interval	$(-\infty, -3)$	$(-3, 1)$	$(1, \infty)$
# test value	$x = -4$	$x = 0$	$x = 2$
# inc./dec.	$f'(-4) = 15 \nearrow$	$f'(0) = -9 \searrow$	$f'(2) = 15 \nearrow$
Result:	$x = -3$ rel max		$x = 1$ rel min

To determine concavity, compute $f''(x)$.

$$f''(x) = 6x + 6$$

$$f''(x) > 0 \Leftrightarrow x > -1.$$

$$f''(x) < 0 \Leftrightarrow x < -1.$$

Thus,

f has a relative max at $x = -3$
min $x = 1$

is concave up on $(-1, \infty)$

down on $(-\infty, -1)$.

has a point of inflection at $x = -1$.

Curve sketching (§3.3)Defⁿ: A rational function is

$$f(x) = \frac{P(x)}{Q(x)}$$

where $P(x)$ and $Q(x)$ are polynomials.

Ex: Find $\lim_{x \rightarrow \infty} \frac{x^2 + 1}{3x^2 + x + 1}$

Examine some values

$$x = 1 \Rightarrow \frac{1^2 + 1}{3 \cdot 1^2 + 1 + 1} = \frac{2}{5}$$

$$x = 10 \Rightarrow \frac{10^2 + 1}{3 \cdot 10^2 + 10 + 1} = \frac{101}{311} \sim \frac{1}{3}$$

$$x = 100 \Rightarrow \frac{100^2 + 1}{3 \cdot 100^2 + 100 + 1} = \frac{10001}{30101} \sim \frac{1}{3}$$

Work the limit

$$\lim_{x \rightarrow \infty} \frac{x^2 + 1}{3x^2 + x + 1}$$

$$= \lim_{x \rightarrow \infty} \frac{1 + \left(\frac{1}{x^2}\right)}{3 + \left(\frac{1}{x}\right) + \left(\frac{1}{x^2}\right)} = \frac{1}{3}$$

These terms get very small.

Fact: $\lim_{x \rightarrow \infty} \frac{a}{b \cdot x^n} = 0$ for $n > 0$.
($b \neq 0$)

Ex: Find $\lim_{x \rightarrow \infty} \frac{x^2 + 3x}{x^5 + x^4 + 10}$

$$= \lim_{x \rightarrow \infty} \frac{x^2 + 3x}{x^5 + x^4 + 10} \left(\frac{\frac{1}{x^2}}{\frac{1}{x^2}} \right)$$

$$= \lim_{x \rightarrow \infty} \frac{1 + \frac{3}{x}}{x^3 + x^2 + \frac{10}{x^2}}$$

These become very small.

$$= 0.$$

Observation:

Let $f(x) = \frac{P(x)}{Q(x)}$ be a rational function.

- If $\deg(P) = \deg(Q)$ then $\lim_{x \rightarrow \infty} f(x)$ exists and is finite.
- If $\deg(P) < \deg(Q)$ then $\lim_{x \rightarrow \infty} f(x) = 0$.
- If $\deg(P) > \deg(Q)$ then $\lim_{x \rightarrow \infty} f(x) = \infty$.

Test this on your own!

Defn: $x=a$ is a VERTICAL ASYMPTOTE if:
 any of the following limits holds:

$$\lim_{x \rightarrow a^-} f(x) = \infty$$

$$\lim_{x \rightarrow a^-} f(x) = -\infty$$

$$\lim_{x \rightarrow a^+} f(x) = \infty$$

$$\lim_{x \rightarrow a^+} f(x) = -\infty$$

$$\lim_{x \rightarrow a^\pm} f(x) = \pm \infty$$

vertical

Ex: Find the asymptotes of $f(x) = \frac{1}{x^2 - 5x + 6}$

We note that

$$f(x) = \frac{1}{x^2 - 5x + 6} = \frac{1}{(x-2)(x-3)}$$

Thus $x=2$ and $x=3$ are vertical asymptotes of $f(x)$.

Defn: $y=b$ is a HORIZONTAL ASYMPTOTE if:

$$\lim_{x \rightarrow \infty} f(x) = b \text{ OR } \lim_{x \rightarrow -\infty} f(x) = b$$

Defn: $y = mx + b$ is an **OBLIQUE ASYMPTOTE** of $f(x) = \frac{P(x)}{Q(x)}$ if:

$\deg(P(x)) = \deg(Q(x)) + 1$ needs to hold.

$$f(x) = (mx + b) + g(x)$$

where $\lim_{x \rightarrow \infty} g(x) = 0$ and $\lim_{x \rightarrow -\infty} g(x) = 0$.

Ex: Find the oblique asymptote of

$$f(x) = \frac{x^2 - 3}{x - 1}$$

Introduce $(x-1)$ in to the top.

$$f(x) = \frac{x^2 - 1 + 1 - 3}{x - 1}$$

$$= \frac{(x^2 - 1) - 2}{x - 1}$$

$$= \frac{(x-1)(x+1) - 2}{x - 1}$$

$$= (x+1) - \frac{2}{x-1}$$

Review:
polynomial
long
division
it makes this
easier!

Thus $y = (x+1)$ is the oblique asymptote of $f(x)$.

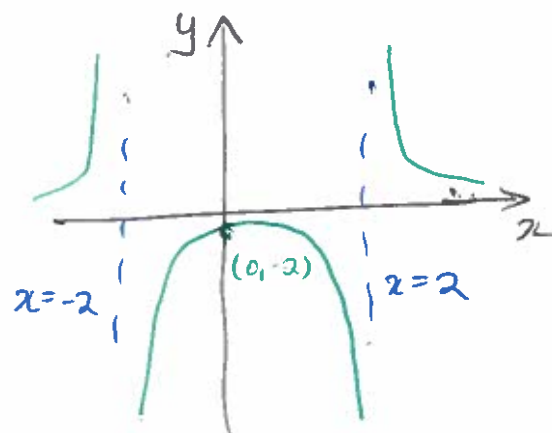
Curve Sketching (v2)

P204

- ① Intercepts
- ② Asymptotes $\left\{ \begin{array}{l} \lim_{x \rightarrow \infty} f(x) \text{ and } \lim_{x \rightarrow -\infty} f(x) \end{array} \right.$
- ③ Derivatives $\left\{ f'(x) \text{ and } f''(x) \right.$
- ④ Critical points $\left\{ f'(x) \text{ undef and } f'(x) = 0 \right.$
- ⑤ Increasing/Decreasing
Relative Extrema
- ⑥ Inflection Points $\left\{ f''(x) \text{ undef or } f''(x) = 0 \right.$
- ⑦ concavity
- ⑧ *Drawing!*

EX: $f(x) = \frac{8}{x^2 - 4}$

- Make table.
- sketch graph.



P207