

# Seifert Surfaces and Knot Genus

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University of Toronto Scarborough

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# The Plan

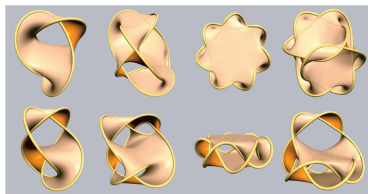


Figure: Seifert Surfaces of various Knots

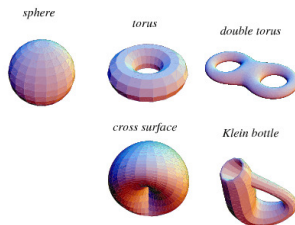


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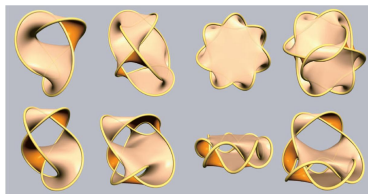


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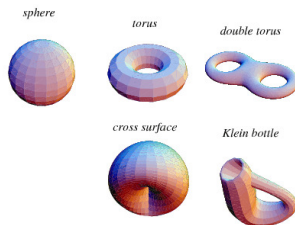


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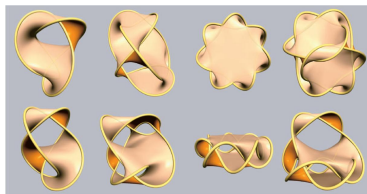


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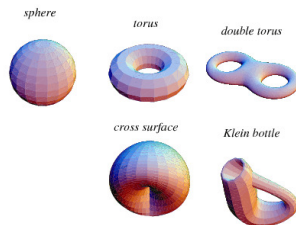


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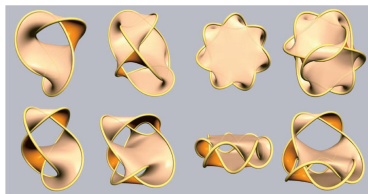


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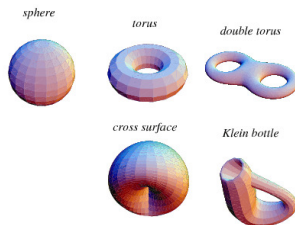


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- 1 An Intro to Knot Theory
- 2 Topological Surfaces
- 3 Seifert Surfaces
- 4 Important Proof and other results
- 5 References/Further Reading

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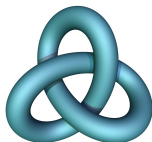


Figure: The Trefoil Knot in  $\mathbb{R}^3$



Figure: To a Topologist, the donut and coffee mug are equivalent under continuous mapping/deformation

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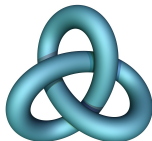


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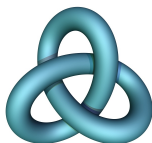


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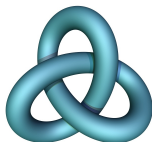


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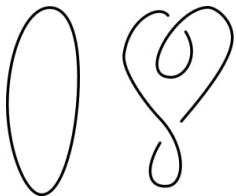


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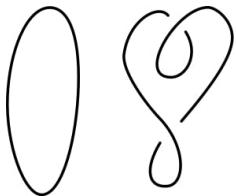


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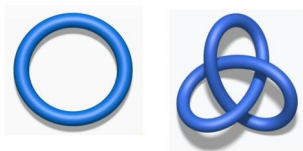


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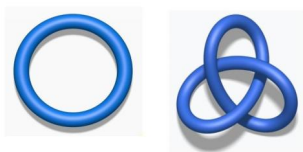


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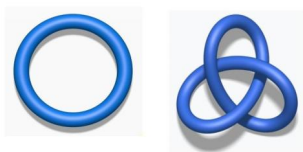


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













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













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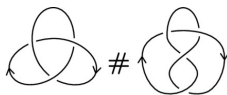


Figure: We pick an orientation along both knots first.

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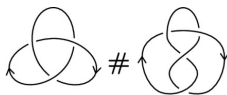


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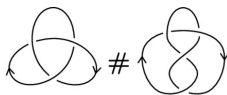
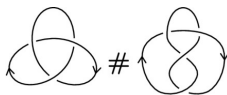


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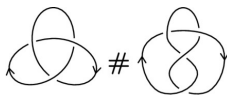


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The sum of Knots  $K_1$  and  $K_2$ ,  $K_1 \# K_2$ , is the gluing of  $K_1, K_2$  along a section of both such that no new crossings are added.



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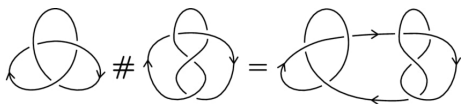


Figure: A valid Knot Sum

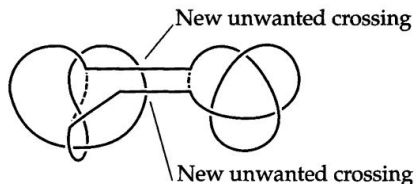


Figure: Not a valid Knot Sum (Pun intended)

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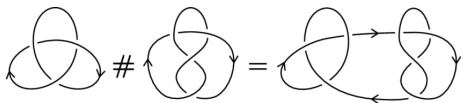


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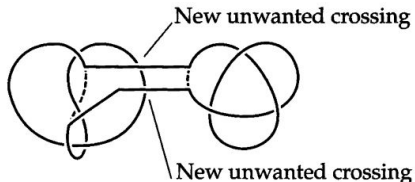
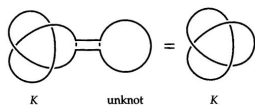


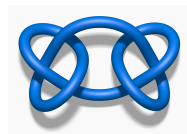
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If the orientation of the 2 knots are the same, then we get 1 possible knot from the composition. If the orientation is different between the 2, we could potentially get a different knot (though there is a case where the sums are the same).

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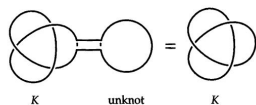


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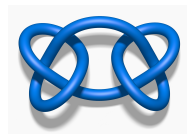


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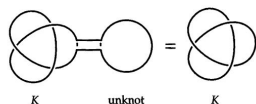


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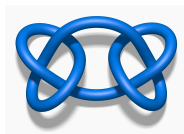


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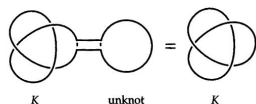


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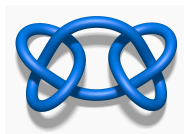
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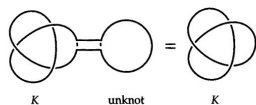
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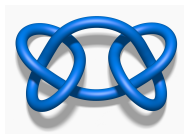
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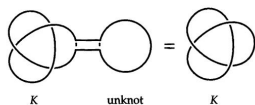
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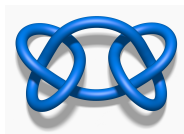
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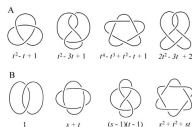


# Knot Invariants



**Figure:** The Trefoil is Tricolorable while the Unknot is not, so they are not equivalent.

- A main goal in Knot Theory is telling knots apart: i.e which knots are equivalent and which knots aren't



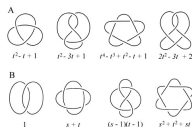
**Figure:** The Alexander Polynomial, another popular Knot Invariant

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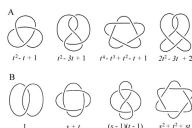
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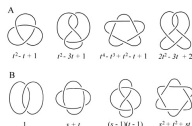
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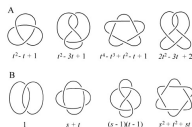
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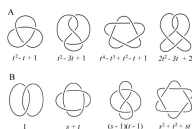
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- 1 An Intro to Knot Theory
- 2 Topological Surfaces**
- 3 Seifert Surfaces
- 4 Important Proof and other results
- 5 References/Further Reading

# Euler's Identity

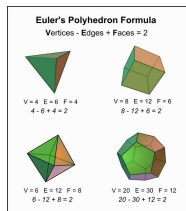


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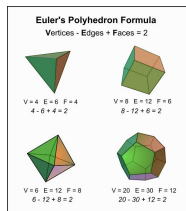


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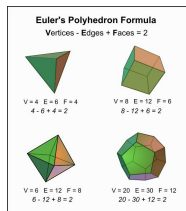


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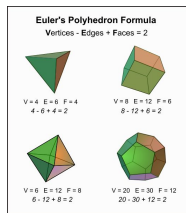


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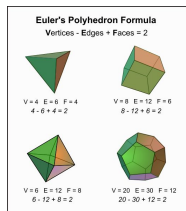


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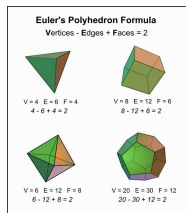


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- We will see this formula also generalize when we cover topological surfaces

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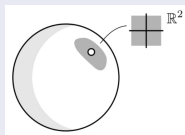


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**Figure:** The surface of the earth is a topological surface: flat locally, spherical globally!

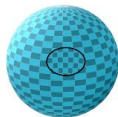
**Figure:** Every point in the surface must have a neighborhood that resembles the plane (look flat locally).

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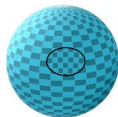
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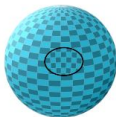


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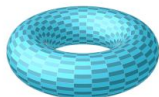
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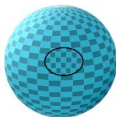


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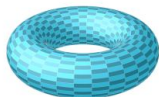


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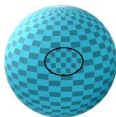


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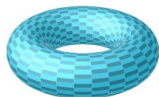


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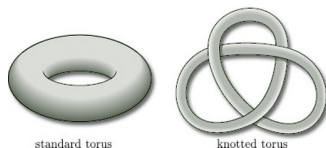
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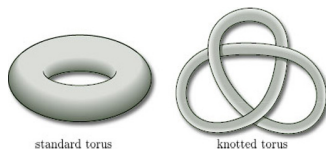
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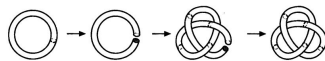
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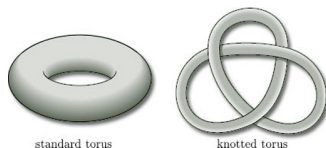
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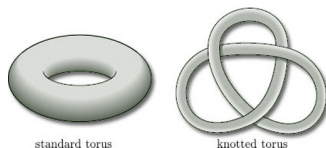
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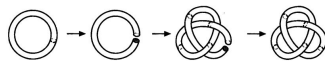
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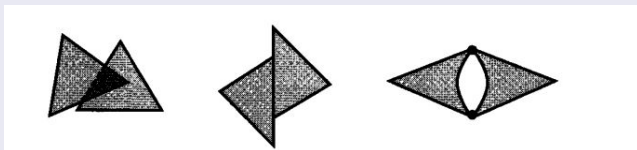


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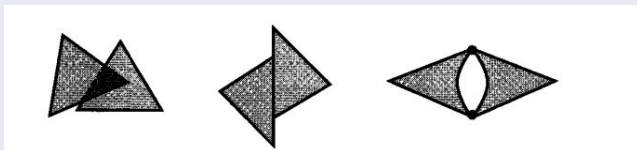


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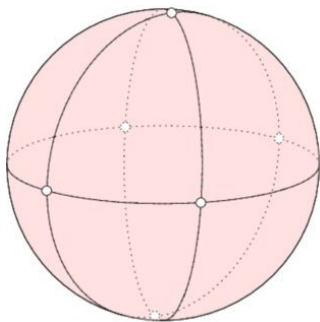
The euler characteristic of a surface ( $\chi(\Sigma)$ ) is

$$\chi(\Sigma) = \chi(\tau)$$

for any (finite) triangulation  $\tau$  of  $\Sigma$

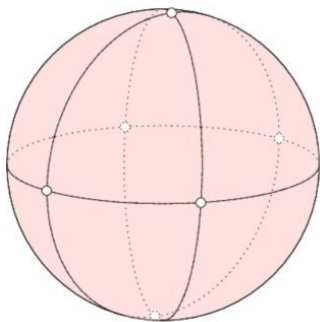


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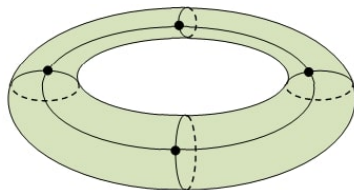


**Figure:** This triangulation of the sphere shows us that the Euler characteristic of the sphere is  $V - E + F = 2$  after counting up all the vertices, edges and faces. Note this is the same for anything homeomorphic to the sphere and for any triangulation of the sphere

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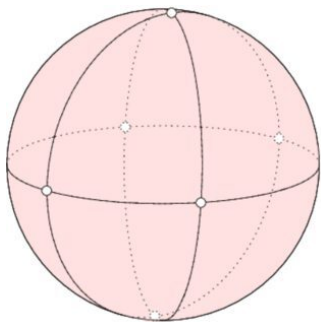


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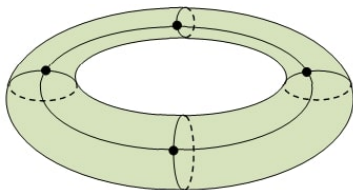


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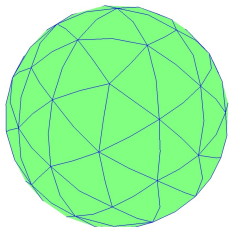
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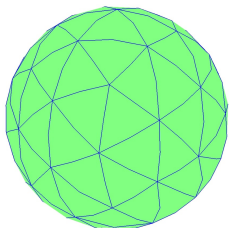


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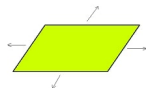


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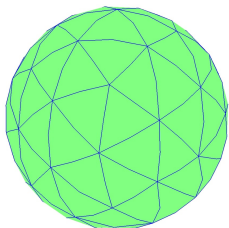


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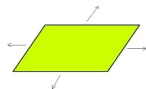


**Figure:** The plane is not compact: there exists no finite triangulation of the plane (note that the plane is still a surface)

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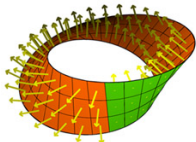


**Figure:** The Sphere is compact: it has a finite triangulation



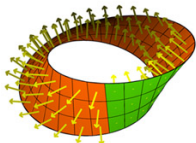
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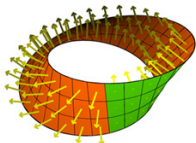


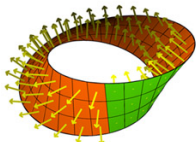
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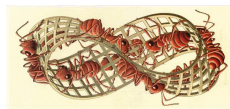
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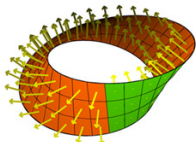
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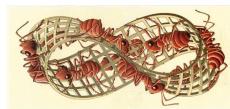
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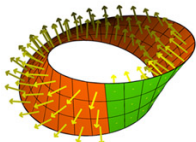
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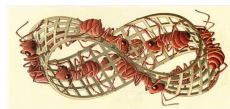


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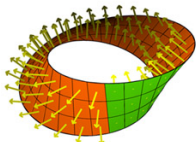
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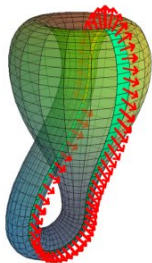
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- These surfaces are interesting in their own right, however, for our purposes, we will prefer our surfaces to be orientable.

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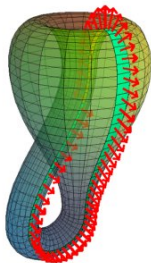


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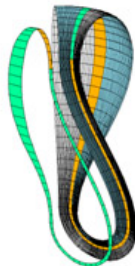


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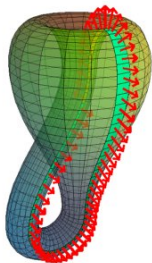


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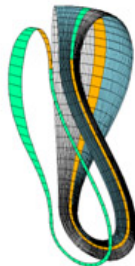
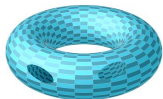


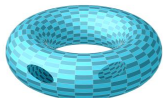
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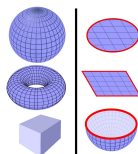


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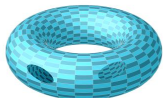


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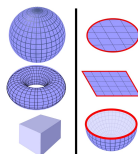


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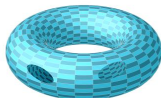
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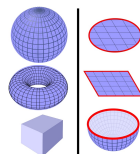
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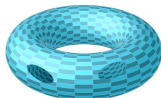
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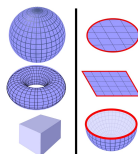
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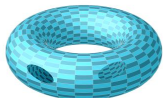


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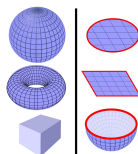


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- We will be investigating such surfaces when we get back to knots.

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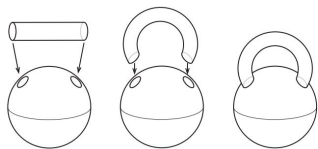
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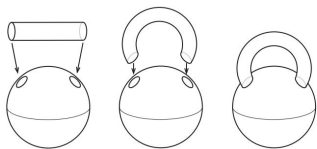
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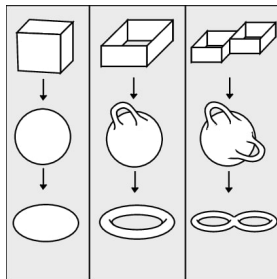


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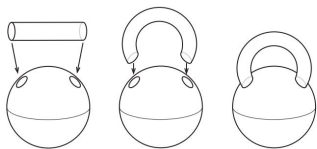


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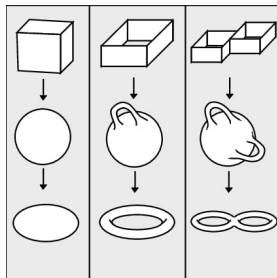


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as wanted! This completes the proof! ■



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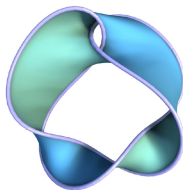
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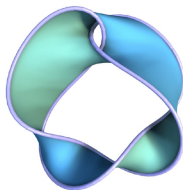
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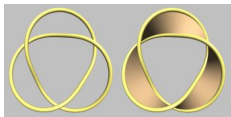


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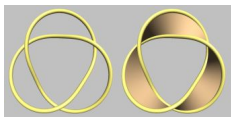
- 1 An Intro to Knot Theory
- 2 Topological Surfaces
- 3 Seifert Surfaces**
- 4 Important Proof and other results
- 5 References/Further Reading

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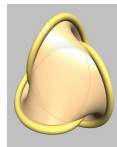


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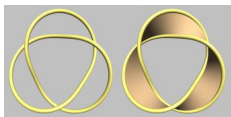


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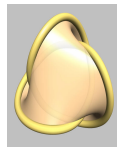


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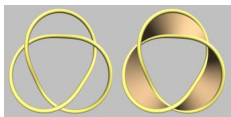
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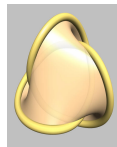
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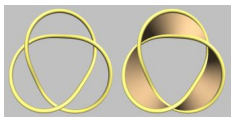
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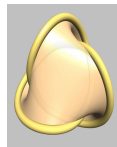
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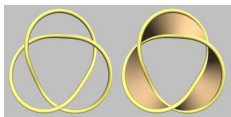
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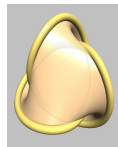
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- Issue: Sometimes we can end up with a non-orientable surface using this method.

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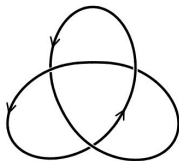
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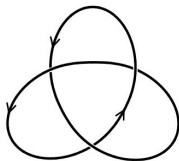
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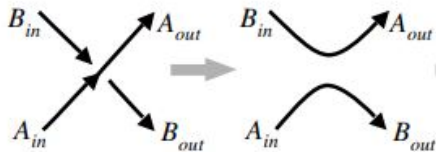


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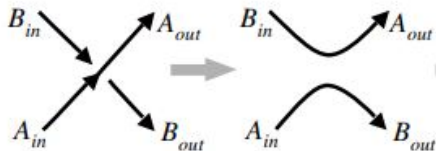


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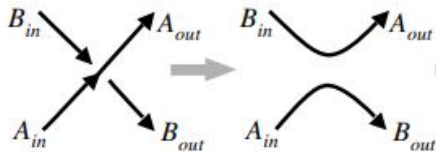
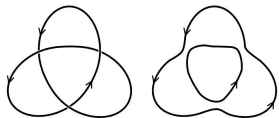


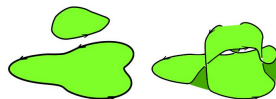
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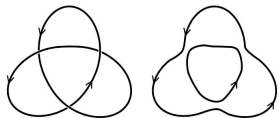
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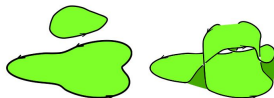
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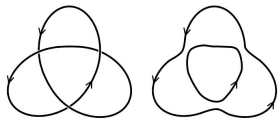
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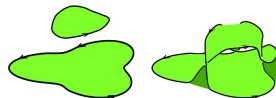
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- 7. We have obtained our Seifert Surface for  $K$ ! (We have a compact orientable surface bounded by  $K$ !)



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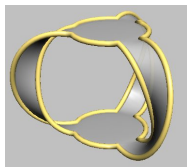
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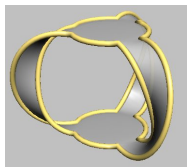
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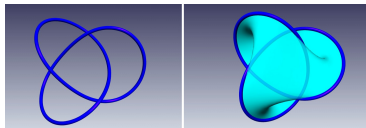


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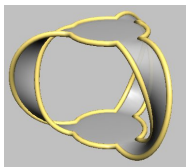


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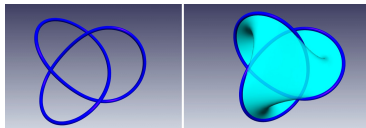


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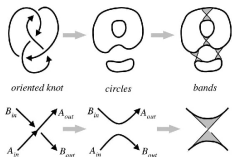
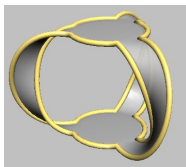


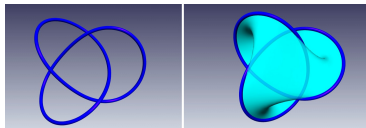
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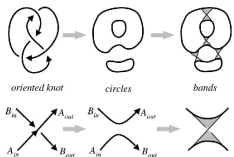
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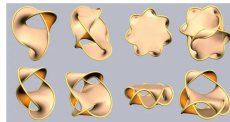
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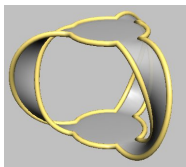


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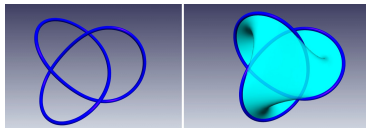


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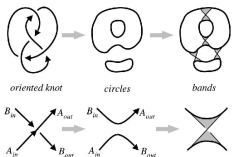
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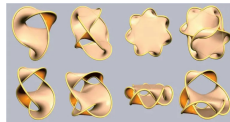
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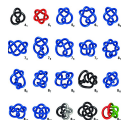


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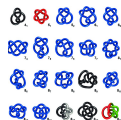


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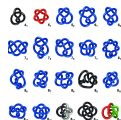


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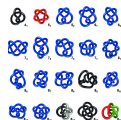


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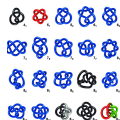


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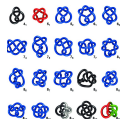


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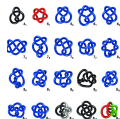


Figure: Lots of Knots have alternating projections!

- This is well defined...but now looks difficult to compute.....
- The following theorem comes to our rescue!

## Theorem

Performing Seifert's Algorithm on an alternating projection of a Knot  $K$  will give the surface of minimal genus for  $K$

- An Alternating projection of a Knot is a projection where the crossings alternate between over and under.
- It turns out a wide class of knots have this property!



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- So the figure eight knot has minimal genus 1 (Warning: this does not mean the figure eight knot is the Trefoil!)
- We also have the following theorem:

## Theorem

A Knot  $K$  is the Unknot if and only if its minimal genus is 0 (i.e it bounds a disk)





Figure: The  $6_2$  Knot

# Using our Invariant



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Hope this gives you a good idea of how we can use our Invariant to distinguish between Knots!

# Table of Contents

- 1 An Intro to Knot Theory
- 2 Topological Surfaces
- 3 Seifert Surfaces
- 4 Important Proof and other results**
- 5 References/Further Reading

# An Important Theorem

Now we will prove the following important Theorem

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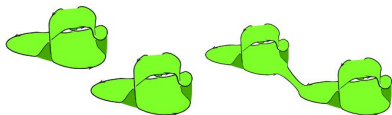
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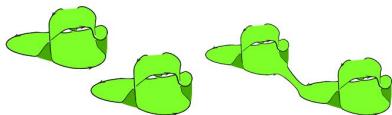
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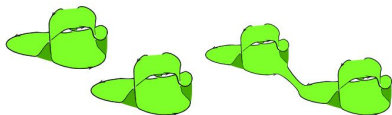
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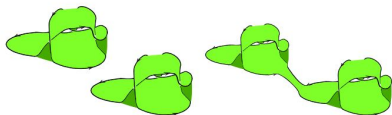


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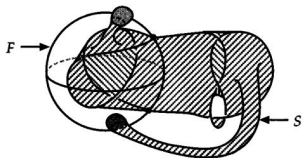
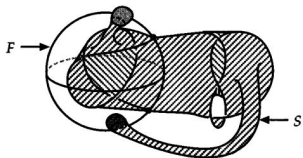


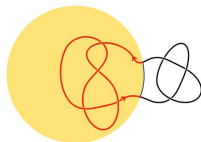
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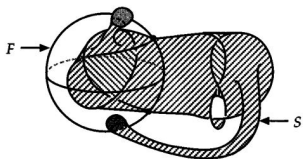


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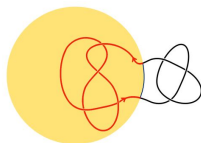
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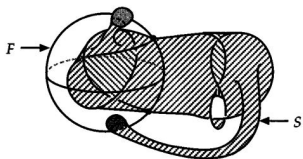
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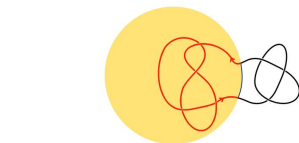


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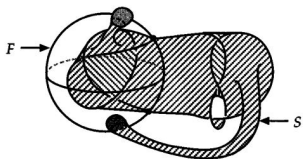
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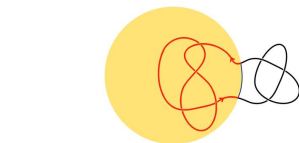
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- So in  $\mathbb{R}^3 \setminus F$ , there is the  $K_2$  portion of the surface, and inside  $F$  there is the  $K_1$  portion.
- We want to construct a surface such that  $F$  cleanly divides it into  $K_1$  and  $K_2$  along  $\beta$  (i.e there are no other intersections)

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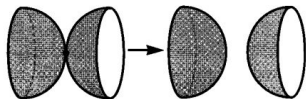


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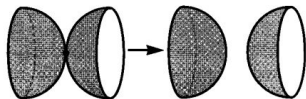


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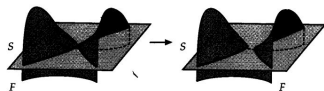


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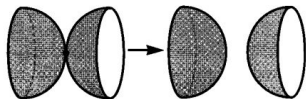


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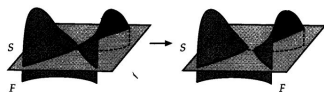


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- So the only elements of  $S \cap F$  should consist of the curve  $\beta$  and Loops. (Note:  $\beta$  is the only curve since  $\partial S$  only intersects  $F$  at the 2 points in the Knot Sum)



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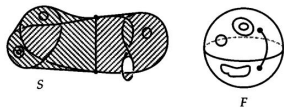
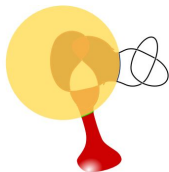


Figure: Intersection loops and  $\beta$  on  $S$  and  $F$

Figure:  $S \cap K$  consists of  $\beta$  and loops

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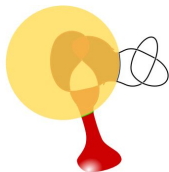


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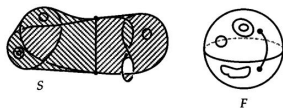
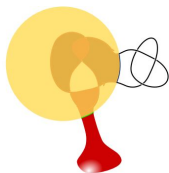


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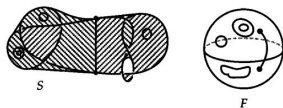
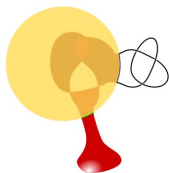


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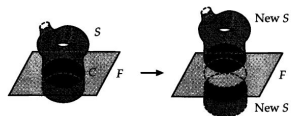


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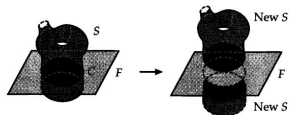


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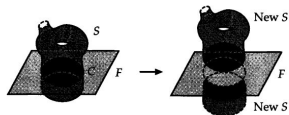


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- Note: this process did not include any part of the boundary of  $S$ , so the New  $S$  that results is still a Seifert Surface for  $K_1 \# K_2$

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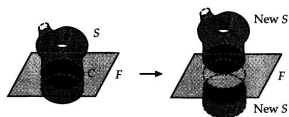


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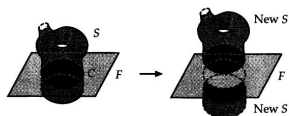


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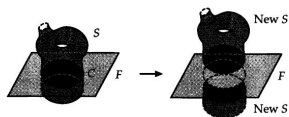


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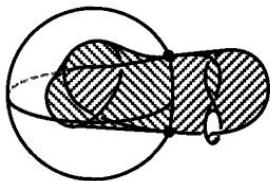


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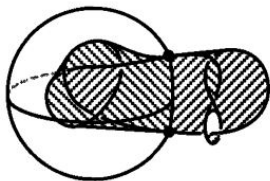
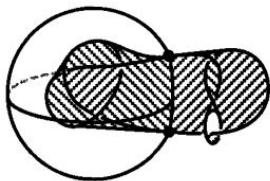


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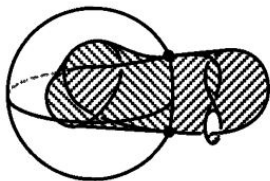


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- These are not necessarily minimal, so  $g(K_1) + g(K_2) \leq g(K_1 \# K_2)$  as wanted! ■

## Theorem

The Unknot is not the Sum of 2 non-trivial Knots

- Assume that the Unknot,  $O$ , is equivalent to  $K_1 \# K_2$ , where  $K_1$  and  $K_2$  are not the Unknot

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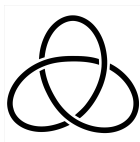
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## Theorem

If  $g(K) = 1$ , then  $K$  is a prime knot

- Assume  $K = K_1 \# K_2$ . (Where  $K_1$  and  $K_2$  are nontrivial) But then

$$g(K) = g(K_1 \# K_2) = g(K_1) + g(K_2) = 1$$



**Figure:** Trefoil Knot has Genus one as we have shown, so it must be prime

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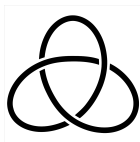


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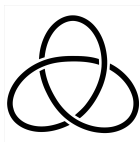


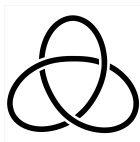
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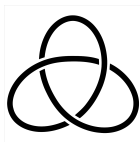
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## Theorem

Every Knot is a finite sum of prime Knots

Follows from  $g(K_1 \# K_2) = g(K_1) + g(K_2)$  and genus 1 knots guaranteed prime.



# Conclusion



Figure: Our Friends: The compact orientable surfaces :)

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# Table of Contents

- 1 An Intro to Knot Theory
- 2 Topological Surfaces
- 3 Seifert Surfaces
- 4 Important Proof and other results
- 5 References/Further Reading**

## Further Reading

- The Knot Book - Collin Adams (Very nice introduction to Knot Theory, no prerequisites needed but covers some very deep ideas still, fun and inspiring read)
- Knot Theory - Math at Andrews Lecture Series: (A fantastic lecture series, doesn't assume much background other than some basic Linear Algebra and a tiny bit of group theory, comes with exercises)
- Euler's Gem - David S. Richeson (Fantastic book that goes over the history and concepts of Topology: assumes no prior background and is a very fun read)
- An Introduction to Knot Theory - W.B.R Lickorish (Another great text on Knot Theory, a lot more heavy than Colins Book, some algebraic Topology background is assumed)
- Topology - Munkres (The golden standard for an introductory course to topology, no background is technically needed but is good to know some analysis, covers classification of surfaces in much more detail)



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